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on October 17, 2006

PATENT  
Attorney Docket No.: 023070-077630US  
Client Reference No.: 1997-177-5

TOWNSEND and TOWNSEND and CREW LLP

By: Shirboni Baruch Adler

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

John HARADA et al.

Application No.: 09/516,052

Filed: March 1, 2000

For: LEAFY COTYLEDON1 GENES  
AND THEIR USES

Customer No.: 20350

Confirmation No.:

Examiner:

Art Unit:

NOTIFICATION OF ERROR IN  
SMALL ENTITY STATUS AND  
PAYMENT OF DEFICIENCY OWED  
UNDER 37 CFR §1.28(c)

October 11, 2006

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The above-identified application filed on March 1, 2000, originally correctly claimed small entity status in good faith. However, it has recently been discovered that such status as a small entity changed on September 1, 2002, when assignee entered into a licensing agreement. Small entity status was continued in good faith when the issue fee was paid, but in error due to inadvertence and without deceptive intent. The following submission and itemization hereby meet the requirements of 37 C.F.R. Sections 1.28(c)(1) and (c)(2) and MPEP Section 509.03(X). Therefore, Applicant respectfully requests the total deficiency payment be processed as set out below and that the error be excused.

**ITEMIZATION AND CALCULATION OF THE DEFICIENCY OWED**

DATE FILED	TYPE OF FEE	SMALL FEE ACTUALLY PAID	(CURRENT) LARGE FEE APPLIED	DEFICIENCY PAYMENT OWED
3/8/04	Issue fee	\$665.00	\$1400.00	\$735.00

**TOTAL DEFICIENCY OWED: \$735.00**

Applicants hereby authorize the Commissioner to deduct the total fees of \$735.00 from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account. A duplicate of this sheet is enclosed.

Applicant understands that under 37 CFR §1.27(g)(2) and §1.28(d) this submission is treated as a notification of loss of small entity status.

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 415.576.0200.

Respectfully submitted,



Matthew E. Hinsch  
Reg. No. 47,651

**Customer No. 20350**

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